

~~Section 10~~Please type a plus sign (+) inside this box → Approved for use through 09/30/2000, OMB 0651-0031  
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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## TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

|  |   |                        |                 |
|--|---|------------------------|-----------------|
|  |   | Application Number     | 09/1941,162     |
|  |   | Filing Date            | August 28, 2001 |
|  |   | First Named Inventor   | Eric Silverberg |
|  |   | Group Art Unit         |                 |
|  |   | Examiner Name          |                 |
| Total Number of Pages in This Submission | 4 | Attorney Docket Number | 1951.PSA        |

## ENCLOSURES (check all that apply)

|   |   |   |
|---|---|---|
| <input checked="" type="checkbox"/> Fee Transmittal Form                                      | <input type="checkbox"/> Assignment Papers<br>(for an Application)                            | <input type="checkbox"/> After Allowance Communication<br>to Group                            |
| <input type="checkbox"/> Fee Attached   | <input type="checkbox"/> Drawing(s)   | <input type="checkbox"/> Appeal Communication to Board<br>of Appeals and Interferences        |
| <input type="checkbox"/> Amendment / Response   | <input type="checkbox"/> Licensing-related Papers   | <input type="checkbox"/> Appeal Communication to Group<br>(Appeal Notice, Brief, Reply Brief) |
| <input type="checkbox"/> After Final  | <input type="checkbox"/> Petition Routing Slip (PTO/SB/69)<br>and Accompanying Petition       | <input type="checkbox"/> Proprietary Information  |
| <input type="checkbox"/> Affidavits/declaration(s)  | <input type="checkbox"/> Petition to Convert to a<br>Provisional Application                  | <input type="checkbox"/> Status Letter  |
| <input type="checkbox"/> Extension of Time Request  | <input type="checkbox"/> Power of Attorney, Revocation<br>Change of Correspondence<br>Address | <input checked="" type="checkbox"/> Additional Enclosure(s)<br>(please identify below):       |
| <input type="checkbox"/> Express Abandonment Request  | <input type="checkbox"/> Terminal Disclaimer  | <b>Declaration<br/>Postcard Receipt<br/>Copy of Notice to File<br/>Missing Parts</b>          |
| <input type="checkbox"/> Information Disclosure Statement                                     | <input type="checkbox"/> Small Entity Statement   |   |
| <input type="checkbox"/> Certified Copy of Priority<br>Document(s)                            | <input type="checkbox"/> Request for Refund   |   |
| <input checked="" type="checkbox"/> Response to Missing Parts/<br>Incomplete Application      |   |   |
| <input checked="" type="checkbox"/> Response to Missing<br>Parts under 37 CFR<br>1.52 or 1.53 |   |   |
| Remarks   |   |   |

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

|                               |   |
|-------------------------------|---|
| Firm<br>or<br>Individual name | Cynthia L. Foulke<br>National Starch and Chemical Company |
| Signature                     |   |
| Date                          | 10-25-01  |

## CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: **10/25/01**Typed or printed name **Alana Fredericks**Signature **Alana Fredericks** Date **10/25/01**

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

FEE TRANSMITTAL  
for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$ 870.00)

## Complete if Known

|                      |                 |
|----------------------|-----------------|
| Application Number   | 09/941,162      |
| Filing Date          | August 28, 2001 |
| First Named Inventor | Eric Silverberg |
| Examiner Name        |                 |
| Group Art Unit       |                 |
| Attorney Docket No.  | I951.PSA        |

## METHOD OF PAYMENT

1.  The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

|                        |         |
|------------------------|---------|
| Deposit Account Number | 14-0455 |
| Deposit Account Name   |         |

Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

Applicant claims small entity status. See 37 CFR 1.27

2.  Payment Enclosed:

Check  Credit card  Money Order  Other

## FEE CALCULATION

## 1. BASIC FILING FEE

| Large Entity                    | Small Entity | Fee Description        | Fee Paid |
|---------------------------------|--------------|------------------------|----------|
| Code (\$)                       | Code (\$)    | Fee Description        | Fee Paid |
| 101 710                         | 201 355      | Utility filing fee     | 740.00   |
| 106 320                         | 206 160      | Design filing fee      |          |
| 107 490                         | 207 245      | Plant filing fee       |          |
| 103 710                         | 208 355      | Reissue filing fee     |          |
| 114 150                         | 214 75       | Provisional filing fee |          |
| <b>SUBTOTAL (1) (\$ 740.00)</b> |              |                        |          |

## 2. EXTRA CLAIM FEES

| Total Claims       | Extra Claims | Fee from below | Fee Paid |
|--------------------|--------------|----------------|----------|
| Independent        | 17 -20** = 0 | X 0 = 0        | 0        |
| Multiple Dependent | 1 -3** = 0   | X 0 = 0        | 0        |
|                    |              |                |          |

## Large Entity Small Entity

| Fee Code (\$)              | Fee Code (\$) | Fee Description  |
|----------------------------|---------------|--|
| 103 13                     | 203 9         | Claims in excess of 20                                     |
| 102 30                     | 202 40        | Independent claims in excess of 3                          |
| 104 270                    | 204 105       | Multiple dependent claim, if not paid                      |
| 109 30                     | 209 40        | ** Reissue independent claims over original patent         |
| 110 13                     | 210 9         | ** Reissue claims in excess of 30 and over original patent |
| <b>SUBTOTAL (2) (\$ 0)</b> |               |  |

\*For number previously paid, if greater. For Reissues, see above.

## FEE CALCULATION (continued)

## 3. ADDITIONAL FEES

| Large Entity               | Small Entity  | Fee Description  | Fee Paid |
|----------------------------|---------------|--|----------|
| Fee Code (\$)              | Fee Code (\$) | Fee Description  | Fee Paid |
| 105 130                    | 205 65        | Surcharge - late filing fee or oath  | 130.00   |
| 127 50                     | 227 25        | Surcharge - late provisional filing fee or cover sheet                     |          |
| 139 130                    | 139 130       | Non-English specification  |          |
| 147 2,520                  | 147 2,520     | For filing a request for ex parte reexamination                            |          |
| 112 920*                   | 112 920*      | Requesting publication of SIR prior to Examiner action                     |          |
| 113 1,340*                 | 113 1,340*    | Requesting publication of SIR after Examiner action                        |          |
| 115 110                    | 215 55        | Extension for reply within first month                                     |          |
| 116 390                    | 216 195       | Extension for reply within second month                                    |          |
| 117 390                    | 217 445       | Extension for reply within third month                                     |          |
| 118 1,390                  | 218 695       | Extension for reply within fourth month                                    |          |
| 129 1,390                  | 228 945       | Extension for reply within fifth month                                     |          |
| 119 310                    | 219 155       | Notice of Appeal   |          |
| 120 310                    | 220 155       | Filing a brief in support of an appeal                                     |          |
| 121 270                    | 221 135       | Request for oral hearing   |          |
| 138 1,510                  | 138 1,510     | Petition to institute a public use proceeding                              |          |
| 140 110                    | 240 55        | Petition to revive - unavoidable   |          |
| 141 1,240                  | 241 620       | Petition to revive - unintentional   |          |
| 142 1,240                  | 242 620       | Utility issue fee (or reissue)   |          |
| 143 440                    | 243 220       | Design issue fee   |          |
| 144 600                    | 244 300       | Plant issue fee  |          |
| 122 130                    | 132 130       | Petitions to the Commissioner  |          |
| 123 50                     | 123 50        | Processing fee under 37 CFR 1.17(c)  |          |
| 126 130                    | 125 180       | Submission of Information Disclosure Stmt                                  |          |
| 531 40                     | 531 40        | Recording each patent assignment per property (times number of properties) |          |
| 146 710                    | 246 355       | Filing a submission after final rejection (37 CFR § 1.129(a))              |          |
| 149 710                    | 249 355       | For each additional invention to be examined (37 CFR § 1.129(b))           |          |
| 173 710                    | 273 355       | Request for Continued Examination (RCE)                                    |          |
| 169 300                    | 169 300       | Request for expedited examination of a design application                  |          |
| Other fee(s) specify _____ |               |  |          |

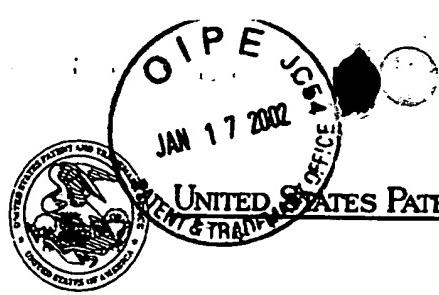
\*Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$ 130.00)

## SUBMITTED BY

|                   |                   |                                      |        |           |              |
|-------------------|-------------------|--------------------------------------|--------|-----------|--------------|
| Name (Print/Type) | Cynthia L. Foulke | Registration No.<br>(Attorney/Agent) | 32,364 | Telephone | 908-685-7488 |
| Signature         |                   |                                      |        |           |              |
| Date              | October 25, 2001  |                                      |        |           |              |

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

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UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
[www.uspto.gov](http://www.uspto.gov)

| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 09/941,162         | 08/28/2001          | Eric Silverberg       | 1951.PSA               |

CONFIRMATION NO. 4632

FORMALITIES LETTER



\*OC00000006814997\*

Cynthia L. Foulke  
National Starch and Chemical Company  
10 Finderne Avenue  
Bridgewater, NJ 08807

Date Mailed: 09/28/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).*
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 840.

*A copy of this notice MUST be returned with the reply.*

*Christina Harrison*  
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

01/23/2002 VTRUONG1 00000106 140455 09941162

01 FC:101 740.00 CH  
02 FC:105 130.00 CH